

Pre-Trade Clearance Workflow Quick Start Package from Gig Werks



Time Frame: 5 days

Enhance the value of your Nintex investment with the Pre-Trade Clearance Workflow Quick Start Package from Gig Werks

Gig Werks brings our expertise and understanding of our clients' needs to create high value, rapidly deployed business solutions. With the exorbitant amount of regulations placed on institutions today, ensuring your processes and procedures are compliant and that they can be easily validated to regulatory authorities is of the utmost importance.

With Gig Werks and Nintex this starter package delivers the ability to automate compliance demands, easily produce the reports today's firms need to have ready on and on demand and set the ground work for your Enterprises ever changing compliance journey

The Gig Werks Pre-Trade Clearance Quick Start Package Includes:

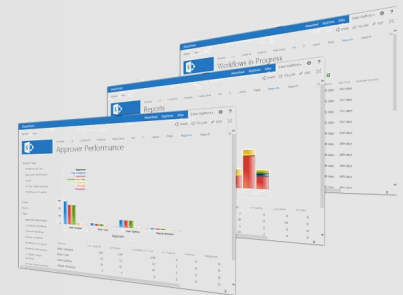
Implementation of Pre-Trade Clearance Workflow Template

Validation of Use Case for Reporting

Development of Distributable Report for Regulatory Compliance

Proper Setup of Security Groups and Auditability of your solution

End User & Technical training to ensure user adoption and proper usage



Nintex Pre-Trade Clearance Workflow

Banks and securities firms have had to set stricter internal process controls before allowing stock trades for customers to be activated. As part of Section 302 of the Sarbanes-Oxley Act, the Securities and Exchange Commission occasionally audits small and large securities exchange firms to ensure that they are following SEC rules and regulations, and not making illegal stock trades. Automating that process can expedite customer trades, ensure legal compliance and satisfy the firm's customers by providing efficient trading.

****For more information, engagement details & requirements please contact us!***

Contact Gig Werks

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